SWA Results Framework



Vision

Vision: Sanitation, hygiene and water for all, always and everywhere								
Results	Success indicators	M	eans of Verifica	tion				
Results	Success indicators	Target 2020	Source	Method	Assumption	Role of Partners		
Progress towards achieving water, sanitation and hygiene-related SDGs	Increasing proportion of population of SWA Country partners using (i) basic and (ii) safely managed drinking-water and sanitation services, disaggregated by rural/urban split, gender, wealth quintile and disability, based on a 2016 baseline – progress being compared with non-SWA Country partners from the same regions.	TBD	Annual JMP country assessments GEMI	JMP qualifying surveys GEMI	Collaborative Behaviors adopted by Partners Building Blocks in place, including realistic sector budget Countries recognize and engage with SWA SWA has adequate resources to implement its strategy	Countries: lead multi- stakeholder process and involve all constituencies. Non-country partners: strengthen/align with national processes. Secretariat: organize Finance Ministers' Meetings (FMMs), WASH Sector Ministers' Meetings (SMMs), ensure progress is reported, oversee Task Teams		

Objective 1: Increase political prioritization for sanitation, hygiene and water

Outcome 1.1 Key policy and decision-makers – including development partners – convene at a global level to assess sector progress, identify financing shortfalls, and agree necessary reforms

			Means of Verifica			
Results	Success indicators	Target 2020	Source	Method	Assumption	Role of Partners
1.1.1 Regular, successful cycle of Finance Ministers' Meetings	SWA partners participate in preparatory webinars in run up to the 2017 and 2019 FMMs	>50%	Participation in preparatory webinars	Secretariat reviews country partner webinar records	Resources available to SWA to support HLM Meeting space	Country Processes Task Team (CPTT): provides guidance on High-level Country Dialogues
	SWA country partners are represented in FMMs, with at least 25% represented at Ministerial Level	>50%	FMM registration lists	Secretariat reviews participation	does not constrain participation	Secretariat: organizes HLM, monitors progress, reports back World Bank: convenes and hosts HLM Partners: advocate high-level participation, participate in dialogue
	SWA External Support Agencies (bilateral donors) participate in each FMM, with at least 25% represented at Ministerial or Head of Agency level	>50%	FMM registration lists	FMM registration lists	Finance and Sector Ministers are willing and able to participate	
	Participating SWA partners report they perceive the 2017 and 2019 FMMs process to have been successful at assessing sector progress, identifying financial shortfalls and agreeing necessary reforms.	>75%	Feedback form	Secretariat reviews answers		
Agreed actions to address financing shortfalls as part of a transparent financing strategy	SWA country partners report that they have sector financing plans agreed and consistently followed, with financial expenditure reports easily accessible to the public	>50%	UN-Water GLAAS 2017, 2019 cycles Data disaggregated by	Data collected by GLAAS/ ESA and country surveys using questionnair es in 2016,	Country partners have capacity to develop a financing strategy	Country partners: develop transparent financing strategies, strengthen PFM systems, provide finance & report progress

1.1.3	Country partners increase the	>50%	component	2017	Country	
Increased	government budget allocated to WASH		and focus	(Question D1,	partners and	Non-country
allocation of	and report it through GLAAS		(water supply	D2 and D3),	non-country	partners: provide
government			sanitation,	and validated	partners	external financial and
budget for the			rural/urban)	by GLAAS	willing and	technical support;
WASH sector				assessing a	able to	increase alignment
				selection of	increase	with country
				Country	WASH funding	strategies and
				Financing		systems
				Plans		
						Secretariat: advocacy
						and monitor progress
	ESA donors' funds aligned with National WASH plan	>50%				

Outcome 1.2 The WASH sector is collectively organized, and all stakeholders make harmonized inputs to achieve universal access and eliminate inequalities

Results	Success indicators	I	Means of Verification		Accumention	Role of Partners
Results	Success indicators	Target 2020	Source	Method	Assumption	Role of Partners
1.2.1 Multi-stakeholder dialogue at global and country level	SWA country partners hold an annual WASH Joint Sector Review (JSR) resulting in an agreed set of priority actions.	>50%	SWA accountability mechanism (mapping out JSRs)	GLAAS, using questionnaires 2016, 2018 (Question B.1)	Country partners have resources and capacity to organize multi- stakeholder dialogue	Countries: Convene multi-stakeholder consultations, complete GLAAS questionnaire Non-country partners: participate in multi-stakeholder consultations CPTT: process guidance Secretariat: advocacy, monitor progress

1.2.3	SWA country partners that have an	>75%	GLAAS	Data collected	National	Country partners:
National WASH	implemented plan that specifies or			by GLAAS using	capacity to	include inequalities in
plans focus on the	includes specific measures to reach		Data	questionnaires	assess	national WASH plans;
elimination of	vulnerable/marginalized		disaggregated	in 2016, 2018	inequality and	complete GLAAS
inequalities			by vulnerable	(Question A.6),	determine	questionnaire
(including gender-			groups	and validated	appropriate	
based inequality)				by GLAAS	response	Non-country partners:
				assessing a	measures	support countries
				selection of		
				National WASH		Secretariat: Advocacy,
				Plans		monitor progress

Objective 2: Strengthen government-led national processes									
	Outcome 2.1: Inputs to strengthen national processes are harmonized								
Results	Success indicators		Means of Verifi	cation	Assumption	Role of Partners			
		Target 2020	Source	Method	Assumption	Role of Fartifels			
2.1.1 Government- owned national plans for WASH aligned with the SDGs, developed with multi- stakeholder consultation	SWA country partners with national plans for WASH aligned with SDGs	>75%	GLAAS	Data to be collected by GLAAS in a modified questionnaire	Government willing to convene Multi- stakeholder platform to develop and review plans	Country partners: lead processes, report progress Non-country partners: actively engage in government-led processes GLAAS: Modifies questionnaire to suit indicator 2.1.1 CPTT: Advice and guidance Secretariat: Advocacy and monitoring			
	Outcome 2.2: Partr	ners align behi	nd the Collabor	ative Behaviours					
2.2.2 Reports on performance against the Collaborative Behaviours	SWA countries partners where government leads an assessment of the state of collaboration with WASH partners using GLAAS data and other sources (OECD, WB)	>75%	GLAAS and other sources (OECD, WB)	Analysis and reporting led by SWA Secretariat and partners	Political will to institute change; Sector capacity sufficient to strengthen systems; Monitoring indicators agreed	Country partners align behind CBs CPTT & GLAAS: lead development of CBs indicators and definitions Secretariat: Data analysis & reporting			

Objective 3: Develop and use a strong evidence base to support good decision-making

Outcome 3.1 - Global monitoring demands are harmonized, and centered around strengthening and use of country results frameworks

Results	Success indicators by 2020	N	leans of Verifica	tion		
		Target 2020	Source	Method	Assumption	Role of Partners
3.1.1 SWA members contribute to evidence base	SWA partners completing the GLAAS (country and ESA) surveys on time (disaggregated by constituency)	75%	GLAAS and Secretariat	GLAAS questionnaire s	resources to establish a single monitoring	Countries: lead development, report progress Non-country partners: align with and support national monitoring system CPTT: Guidance on establishing an information and mutual accountability platform Secretariat: Advocacy, and monitoring
3.1.1 Coordinated monitoring mechanisms at national and international	Countries using indicator and milestone definitions comparable with those used by JMP within national WASH monitoring framework	>75%	WHO (GLAAS and JMP)	GLAAS using 2018 questionnair es		
3.1.2 Evidence-based decision making at country level	SWA country partners use data from the WASH monitoring system to inform strategic decisions	>75%	GLAAS	GLAAS using 2016 & 2018 questionna ires (Question B.4)		

Objective 5: Follow-up and review progress achieved in implementing sanitation, water and hygiene targets of the SDGs

Outcome 5.1: Progress in implementing national WASH plans is assessed globally via a multi-stakeholder intergovernmental platform that links to the water resource, health, nutrition and education sectors

Dooulto	Current in directors by 2020		Means of Verific	cation	Accumention	Dolo of Doutman
Results	Success indicators by 2020	Target 2020	Source	Method	Assumption	Role of Partners
5.1.1 Regular, successful WASH Sector Ministers Meetings (SMMs) which bring together water, health, nutrition and education sectors	SWA partners engage in preparations for SMMs	75%	SMM preparatio n documents	Secretariat reviews preparation reports	Resources available to support SMMs	Secretariat: Organizes SMM and preparation process
	SWA country partners are represented with at least 25% represented at ministerial level	75%	SMM Registratio n list	Secretariat reviews participant list	Key decision- makers are willing and able to participate	UNICEF: Convenes three day SMM in 2018
	Content of SMM reflects multi-sectoral nature of WASH, including water, health, nutrition, and education sectors	n/a	SMM agenda	Secretariat analysis		Partners: Ensure that Sector Ministers can participate effectively in SMMs; encourage participation of relevant non-WASH Sector Ministries
	Participants report they perceive the SMMs to have been successful	75%	Feedback form	Secretariat reviews answers		
5.1.2. Discussions at the UN, including at the High-level Political Forum (HLPF), informed by SWA's mutual accountability mechanism	SWA provides the HLPF with information on SDG 6 progress.	n/a	Secretariat workplan report	Secretariat analysis	Request from UN for SWA to inform FUR process	

5.1.3. Enhanced	SWA undertakes joint activities with 2	n/a	Secretariat/E	Secretariat	
linkages	other partnerships or platforms		C workplan	analysis	
between WASH	representing other sectors every year				
and water					
resources,					
health,					
nutrition,					
climate change,					
human rights,					
humanitarian					
assistance and					
education					
sectors					