Summary of Decisions

Decision 1: Indicators for the Collaborative Behaviours – Revision
The Steering Committee asks the team of experts leading the work on the Collaborative Behaviours indicators to extend the deadline for comments to 8 November and to incorporate the feedback from the CSO constituency.

Decision 2: Indicators for the Collaborative Behaviours – Finalization
The Steering Committee thanks the team of experts led by GLAAS that developed a list of indicators for the Collaborative Behaviours. The Steering Committee endorses the list of indicators after they have been revised and asks GLAAS to lead the collection of data and development of country profiles to support the preparatory process for the Finance and Sector Ministers’ Meetings in April 2017.

Decision 3: Indicators for the Collaborative Behaviours Indicators - Review
The Steering Committee asks the team of experts leading the work of developing indicators for the Collaborative Behaviours to re-examine the indicators and their implementation in six months.

Decision 4: The Partnership Playbook
The Steering Committee encourages the continued participation of SWA in joint work with other partnerships. The Steering Committee supports the principles that have been developed jointly with other multi-stakeholder partnerships (outlined in the Partnership Playbook) and agrees to support their broader dissemination.

Decision 5: Contractual Arrangement of the Executive Chair
The Steering Committee asks UNICEF to continue negotiations with UNOPS concerning the establishment of a fixed-term position for the Executive Chair within the UN system, preferably at UNOPS, at a suitably senior and politically-appropriate level and taking into account the practice in other equivalent global partnerships. The SC equally requests UNICEF to put in place a full-time interim contractual solution for the EC before the fixed term contract is in force.

The Steering Committee asks UNICEF to provide background information and a proposal for a detailed decision that would allow the SC to give UNICEF the necessary basis on which to instruct UNOPS to establish the post at its next meeting in December 2016.
### Summary of Actions

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### Participants

#### Steering Committee Members
1. Ms. Catarina de Albuquerque, SWA Executive Chair and Steering Committee Chair
2. Mr. Amjad Ehmidat, Palestinian National Authority
3. Ms. Ananda H. Jayaweera, Sri Lanka
4. Mr. Dominick de Waal, World Bank
5. Mr. Ghulam Qader, Afghanistan
6. Mr. Jorge Mora Portuguez, FANCA
7. Ms. Josèphine Ouédraogo, Burkina Faso
8. Ms. Lajana Manandhar, Lumanti/FANSA
9. Mr. Nelson Gomonda, AMCOW
10. Mr. Nilton Trindade, Mozambique
11. Mr. Paul Deverill, DFID
12. Mr. Patrick Moriarty, IRC
13. Mr. Samson Shivaji, KEWASNET/ANEW
14. Mr. Sanjay Banka, Banka Bioloo
15. Mr. Sanjay Wijesekera, UNICEF
16. Mr. Thilo Panzerbieter, German Toilet Organization/EWP

#### Observers
17. Mr. Bruce Gordon, WHO
18. Mr. Dennis Warner, Millennium WASH Alliance
19. Mr. Federico Properzi, UN-Water
20. Ms. Fiona Gore, WHO

#### Secretariat
21. Ms. Amanda Marlin, Coordinator
22. Ms. Alexandra Reis, Communications
23. Ms. Heloise Chicou, CSO Advisor

#### Regrets
Mr. Achille Kangni, Benin
Mr. Samuel Ome, Nigeria

#### Not attending
Ms. Bayantuul Baasanjav, Mongolia
Ms. Claudia Coria-Bustos, Mexico
Mr. Jochen Rudolph, AfDB
Mr. Kepha Ombacho, Kenya
Mr. Pim van der Male, DGIS
Mr. Tinayeshe Mutazu, Zimbabwe
Session 1: Welcome
Catarina de Albuquerque, Executive Chair

Catarina welcomed the Steering Committee (SC) members to the Extraordinary Meeting. She then gave the group an overview of the short agenda before asking WHO to introduce the next agenda item.

Session 2: Endorsement by the SC of the indicators for the Collaborative Behaviours
Fiona Gore, WHO

Fiona gave a summary of the work done to develop indicators for the SWA Collaborative Behaviours, which involved a group of experts led by GLAAS, in consultation with several SWA partners (DFID, IRC, SIWI, WHO, USAID, UNICEF, WaterAid and the World Bank).

She noted that the group had already presented an initial draft of the indicators at the Addis Ababa Ministerial Meeting and that the current proposal was a revised version based on the feedback received there, as well as on the work done by the group’s members in a meeting held in London in October 2016. Fiona acknowledged the concerns expressed by the CSO constituency on the lack of opportunity for this constituency to comment on the indicators and proposed to extend the date for comments to 8 November.

Fiona also summarized the key principles that helped the team of experts develop the indicators, including ensuring that monitoring the Behaviours does not put an extra burden on countries (data will come from existing monitoring mechanisms, such as GLAAS, OECD-CRS and World Bank assessments) and that the indicators apply to both countries and other development partners.

The SC supported the extension of the deadline and had no objection to the suggestion that the indicators be considered adopted after being revised according to new feedback. The SC also took note of the request from the High-level Political Dialogue Working Group, which had asked the GLAAS team to produce country profiles for the Collaborative Behaviours as inputs for the 2017 SWA High-level Meetings. Fiona confirmed that GLAAS was planning to develop the profiles as requested.

Since the initiative to monitor the Behaviours is new for the WASH sector, the SC decided that the indicators, and the work of using them, should be re-examined in six months.

On behalf of the R&L constituency, Patrick abstained from supporting a decision on this agenda item, on the grounds that the development of indicators is technical work and hence the Steering Committee, as a strategic body, should not become involved at this level.
**Decision 1: Indicators for the Collaborative Behaviours – Revision**
The Steering Committee asks the team of experts leading the work on the Collaborative Behaviours indicators to extend the deadline for comments to 8 November and to incorporate the feedback from the CSO constituency.

**Decision 2: Indicators for the Collaborative Behaviours – Finalization**
The Steering Committee thanks the team of experts led by GLAAS that developed a list of indicators for the Collaborative Behaviours. The Steering Committee endorses the list of indicators after they have been revised and asks GLAAS to lead the collection of data and development of country profiles to support the preparatory process for the Finance and Sector Ministers’ Meetings in April 2017.

**Decision 3: Indicators for the Collaborative Behaviours Indicators - Review**
The Steering Committee asks the team of experts leading the work of developing indicators for the Collaborative Behaviours to re-examine the indicators and their implementation in six months.

**Session 3: Endorsement by the SC of the Principles of the Partnership of Partnerships**
*Amanda, Marlin*

Amanda summarized the background on the Partnership Playbook, noting that it grew out of work undertaken to comply with the SC’s decision to increase collaboration with other multi-stakeholder partnerships, and to undertake joint advocacy.

One of the steps taken by the Executive Chair and Secretariat to implement that decision was to co-convene an event at the UN General Assembly alongside the Global Partnership for Education, the Scaling Up Nutrition Movement, Zero Hunger Challenge and the Every Woman Every Child partnership. The event’s focus was on the need to have a truly integrated approach to the SDGs. During the preparations for this event the partnerships agreed to put forward principles which showcased the commitments that guide their joint work. These principles, which became the Partnership Playbook, were anchored in the previously agreed norms for each partnership, including, in the case of SWA, the 2015-2020 Strategy, the Collaborative Behaviours and the SWA Results Framework.

The SC agreed overall with the principles in the Playbook, but there was some concern about the use of the word “equity”. After some debate the SC asked the Secretariat to communicate this concern to the other partnerships, with a view to having the word “equity” removed if possible. Noting that changes to wording may no longer be possible, however, the SC approved the document even if changes to the text are not made.

In response to a question about why the document was called a playbook, Amanda explained that it was a decision taken because it was perceived to make the document more attractive and easier to communicate to a wider audience. There was also a question regarding the financial implications of being involved in the partnership of partnerships, to which Amanda responded that the costs were primarily those associated with staff time, and that the Secretariat noted that this needed to be monitored, and appropriately balanced against the demands for SWA-specific work.

**Action 1:** Secretariat to approach partnerships involved in the Partnerships Playbook to request the removal of the word “equity” from the text.
**Decision 4: The Partnership Playbook**
The Steering Committee encourages the continued participation of SWA in joint work with other partnerships. The Steering Committee supports the principles that have been developed jointly with other multi-stakeholder partnerships (outlined in the Partnership Playbook) and agrees to support their broader dissemination.

**Session 4: Contractual arrangement for the Executive Chair**
*Sanjay Wijesekera, UNICEF*

Sanjay referred to previous decisions of the SC to establish a full-time, fixed-term UN contract for the SWA Executive Chair. He also recalled the request from the SC for UNICEF to continue to retain the current Executive Chair on a full-time consultancy basis, until a post was established.

Sanjay indicated that from June 2016, DGIS, (Directorate-General for International Cooperation of the Government of The Netherlands) had been in correspondence with UNOPS with a view to establishing a post for the SWA Executive Chair. These negotiations concluded with the decision by The Netherlands, on 26 October 2016, that they were unable to give UNOPS instructions to establish the post. Following that decision by The Netherlands, UNICEF agreed to liaise directly with UNOPS, to determine what would be required for UNICEF to give instructions to UNOPS to establish a post.

While these negotiations were underway, and in the knowledge that establishment of a post would take some time, UNICEF had sought to establish a further full-time, 12-month consultancy contract for the Executive Chair. However, this was no longer possible under UNICEF’s administrative procedures as the functions of the Executive Chair related to a staff position and not a consultancy. Sanjay noted the dilemma that this posed, and expressed UNICEF’s commitment to work with partners to find both a long-term and an interim solution.

The Executive Chair was asked by members of the SC to comment on this situation. She noted that it was in the best interests of the partnership to find a long-term solution that provided stability and minimized the amount of time spent on human resources-related issues. She expressed her preference for a solution that favored stability.

The SC called for recommendations from UNICEF on how to implement their previous decisions regarding a fixed-term contract that could be in place in the upcoming few (2-3) months and an interim full-time solution until then. Sanjay pointed out that indeed timing is an issue – any long-term solution in the UN system will take time. However, he assured the group that UNICEF is taking the necessary actions to make it happen. After some discussion, the SC decided they needed more information on the actions taken by UNICEF to implement their previous decisions on the contractual agreements with the Executive Chair, including approaching UNOPS.

There was also a general agreement that, if needed, UNICEF and other partners should call on other influential partners (including countries) to openly support such a fixed-term position within the UN system. Sanjay agreed that such a demonstration of political support might influence the process in a positive way.

UNICEF offered to continue negotiations with UNOPS and present to the SC in December background information and a proposal for a detailed decision that would allow the SC to give UNICEF the necessary basis on which to instruct UNOPS to establish the post.
**Action 2:** SC members agreed to avail themselves of any possibilities they might have to ask UN Member States to provide political influence in favor of establishment of a fixed-term position within the UN system for the Executive Chair.

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**Decision 5: Contractual Arrangement of the Executive Chair**

The Steering Committee asks UNICEF to continue negotiations with UNOPS concerning the establishment of a fixed-term position for the Executive Chair within the UN system, preferably at UNOPS, at a suitably senior and politically-appropriate level and taking into account the practice in other equivalent global partnerships. The SC equally requests UNICEF to put in place a full-time interim contractual solution for the EC before the fixed term contract is in force.

The Steering Committee asks UNICEF to provide background information and a proposal for a detailed decision that would allow the SC to give UNICEF the necessary basis on which to instruct UNOPS to establish the post at its next meeting in December 2016.

**Session 5: AOB**

*Catarina de Albuquerque; Paul Deverill, DFID*

Catarina reminded the SC of the upcoming in-person meeting in New York City (6-7 December). She informed the group that the Country Processes Working Group (CPWG) will have a meeting on 8 December, noting that two former SC members: Peter Mahal and George Chaima will participate in that meeting. She therefore proposed to the group that Peter and George be invited to the SC meeting as guests. There were no objections.

Catarina also mentioned that the SWA Chair, the Hon. Kevin Rudd, who is based in New York, has shown interest in joining the meeting and hence she also plans to invite him. She also plans to invite the usual Standing Observers: Gates Foundation, WHO and UN-Water, as well as the chairs of the CPWG and Global Monitoring Harmonization Working Group. There were no objections.

Catarina also asked the SC to support her proposal to invite representatives from the Swedish government, as they are considering joining SWA, as well as institutions that are based in New York and could bring important expertise to the discussions, as well as visibility to SWA: UN-Women, the Office of the UN Secretary-General and UNDESA. There were no objections.

Paul gave the SC an update on the work done around the Results Framework. The Results Framework Working Group, in consultation with the Executive Chair, has completed the document and has a final version ready to be circulated to the SC. Catarina informed the group that, according to their previous decision, the Results Framework was now finalized and the Group terminated. Catarina also informed the group that the Secretariat is now working with the consultants Logframe to prepare a simplified version of the Framework to be circulated externally.

**Action 3:** Executive Chair to circulate final version of the Results Framework to the Steering Committee.